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PTO/SB/21 (08-03)

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| 011 = 42 | | Application Number | 09/847,534 | | | | |
| DEC 0.7 2005 TRANSMITTAL | | Filing Date | 5/1/2001 | | | | |
| DEC 0 7 2005 TRANSMITTAL FORM | | First Named Inventor | Lev Novik | | | | |
| 1 | | Group Art Unit | 2195 | | | | |
| ased for all correspondence after in | itial filing) | Examiner Name | LEWIS ALEXANDER BULLOCK JR | | | | |
| | | Attorney Docket Number | MS1-694US | | | | |
| Total Number of Pages in This Submissi | | I ES (check all that apply) | 110 1-03403 | | | | |
| Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Documents Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | Drawir Licens Petitio Petitio Provis Power Chang Addres Termir Reque | ng(s) ing-related Papers n n to Convert to a ional Application of Attorney, Revocation le of Correspondence | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Form PTO-1449; reference copies (10); return receipt postcard | | | | |
| SIGNA | TURE OF APPL | ICANT, ATTORNEY, OR | AGENT | | | | |
| Firm or Individual Name Steven R. Sponsel | ler/Reg. No. 3938 | 34 | | | | | |
| Signature | zonseR | | | | | | |
| Date /7-2-05 | | | | | | | |
| с | ERTIFICATE OF | TRANSMISSION/MAILI | NG | | | | |
| | I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | |
| Typed or printed name Cheryl Boies | 1. | | | | | | |
| Signature Cheryl Dores Date 12-5-200 | | | | | | | |

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| Effective on 2004. es pursuant to the Consolidated Apple Rappe 2005 (H.R. 4818). | Complete if Known | | |
|--|----------------------|--------------------|--|
| | Application Number | 09/847,534 | |
| FEE TRANSMITTAL | Filing Date | 5/1/2001 | |
| For FY 2005 | First Named Inventor | Lev Novik | |
| Applicant dains amall artifu status Cas 27 CED 1 27 | Examiner Name | LEWIS A BULLOCK Jr | |
| Applicant claims small entity status. See 37 CFR 1.27 | Art Unit | 2195 | |
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| TOTAL AMOUNT OF PAY | MENI (\$ |) 180.00 | | Attorney Docke | t No. | MS1 -694L | JS · | |
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| Charge any under 37 CF WARNING: Information on the information and authorization | Deposit Accountified deposit indicated be additional fee R 1.16 and 1 is form may be | account, the Dire elow e(s) or underpaym .17 ecome public. Cred | ctor is here | Deposit A eby authorized to Charge(s) Credi | ge fee(s) indi t any overpa | Lee & Ha that apply) icated below, e syments | yes, PLLC except for the fi | |
| FEE CALCULATION | | | | | | | | |
| 1. BASIC FILING, SEA | FILING | | | CH FEES Small Entity | EXAMIN | ATION FEES Small Entity | 3 | |
| Application Type | Fee (\$) | Fee (\$) | <u>Fee (\$)</u> | | Fee (\$) | Fee (\$) | <u>Fees Pa</u> | <u>id (\$)</u> |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | | |
| Plant | 200 | 100 | 300 | 150 . | 160 | 80 | | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | | |
| 2. EXCESS CLAIM FEI Fee Description Each claim over 20 or, f Each independent claim Multiple dependent claim Total Claims | or Reissues over 3 or, f | or Reissues, eac | ch indepe | | ore than in | | 50 patent 200 360 | Email Entity Fee (\$) 25 100 180 |
| - 20 or HP = | | x 50 | _= | | Fee (\$ | <u>Fee</u> | Paid (\$) | |
| HP = highest number of total Indep. Claims - 3 or HP = HP = highest number of inde | Extra Claim | <u>Fee (\$)</u> x <u>200</u> | _= | aid (\$) | | | | |
| 3. APPLICATION SIZE If the specification and for each additional Total Sheets - 100 = | d drawings 50 sheets of Extra She | or fraction there | of. See 3 er of each | per, the applica 5 U.S.C. 41(a) an additional 50 of cound up to a version | (1)(G) and or fraction to | 37 CFR 1.16 | 6(s). | all entity) |
| 4. OTHER FEE(S) | | | | | | | <u>Fee</u> : | s Paid (\$) |
| Non-English Specifi | | | ll entity o | liscount) | | | | |
| Other: Information I | Disclosure S | Statement | | | | | \$180 | .00 ` |

| SUBMITTED BY | | | |
|-------------------|----------------------|---|--------------------------|
| Signature | Sto Gousell | Registration No. (Attorney/Agent) 39384 | Telephone (509) 324-9256 |
| Name (Print/Type) | Steven R. Sponseller | | Date / 2_ Z_ @ 5 |

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HE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application Serial No. | 09/847,534 |
|--|-----------------------|
| Filing Date | 5/1/2001 |
| Confirmation No. | 4018 |
| Inventorship | Lev Novik |
| Applicant | Microsoft Corporation |
| Group Art Unit | |
| ExaminerLEWIS | |
| Attorney's Docket No. | MS1-0694US |
| Title: Method and Apparatus for Correlating Events | |

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

To: Mail Stop Amendment

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)

Lee & Hayes, PLLC

421 W. Riverside Avenue, Suite 500

Spokane, WA 99201

The attached form PTO-1449 is submitted in compliance with Applicant's duty of disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

Dated: /2-2-05

Steven R. Sponseller Reg. No. 39384

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Substitute for form 1449/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | | | |
|------------------------|---------------------|--|--|--|--|
| Application Number | 09/847,534 | | | | |
| Filing Date | 5/1/2001 | | | | |
| First Named Inventor | Lev Novik | | | | |
| Art Unit | 2195 | | | | |
| Examiner Name | LEWIS ALEXANDER BUL | | | | |
| Attorney Docket Number | MS1 -694US | | | | |

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| | | | | T DOCUMENTS | |
|-----------------------|--------------------------|--|-----------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (# known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | ^{US-} 5,632,035 | 5/20/1997 | Goodwin | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|-----------------------|--------------------------|---|---------------------|--|---|----------------|--|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages • | | | | |
| · | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | MM-DD-YYYY | '' | Or Relevant Figures Appear | T ⁶ | | | |
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| Examiner | Date | <u>-</u> |
|-----------|------------|----------|
| Signature | Considered | |

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| Substitute for form 1449/PTO | | | | Complete if Known | | | |
|----------------------------------|-----------------------------------|--------------------|---------------------|------------------------|------------|--|--|
| - Gabaliate for form 14400 10 | | Application Number | 09/847,534 | | | | |
| INF | ORMATION | DIS | CLOSURE | Filing Date | 5/1/2001 | | |
| STA | STATEMENT BY APPLICANT | | | First Named Inventor | Lev Novik | | |
| | (Use as many sheets as necessary) | | | Art Unit | 2195 | | |
| (coo do many choca do necessary) | | Examiner Name | LEWIS ALEXANDER BUL | | | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | MS1 0694US | | |

| · | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No: ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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